

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

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In re : **Chapter 11 Case No.**
 :
LEHMAN BROTHERS HOLDINGS INC., et al., : **08-13555 (SCC)**
 :
Debtors. : **(Jointly Administered)**
 :
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**ORDER SUSTAINING OMNIBUS OBJECTIONS AND RECLASSIFYING CLAIMS
FOR RESTRICTED STOCK UNITS AND CONTINGENT STOCK AWARDS**

Upon the fourteen omnibus objections (collectively, the “Omnibus Objections”)¹ to proofs of claim asserting claims for bonus or commission compensation during the years 2003 through 2008 for which claimants received or were to receive restricted stock units or contingent stock awards (collectively, the “RSU Claims”),² filed by Lehman Brothers Holdings Inc. and its affiliated debtors in the above-referenced chapter 11 cases (collectively, the “Chapter 11 Estates”), pursuant to section 502 of the Bankruptcy Code, Rule 3007 of the Federal Rules of Bankruptcy Procedure, and this Court’s order approving procedures for the filing of omnibus

¹The Omnibus Objections are Debtors’ Seventy-Third Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests) [ECF No. 13295]; Debtors’ One Hundred Eighteenth Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests) [ECF No. 15666]; Debtors’ One Hundred Thirtieth Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests) [ECF No. 16115]; Debtors’ One Hundred Thirty-First Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests) [ECF No. 16116]; Debtors’ One Hundred Thirty-Third Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests) [ECF No. 16530]; Debtors’ One Hundred Thirty-Fourth Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests) [ECF No. 16532]; Debtors’ One Hundred Thirty-Fifth Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests) [ECF No. 16808]; Debtors’ One Hundred Seventy-Sixth Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests) [ECF No. 19392]; Debtors’ One Hundred Eighty-Fifth Omnibus Objection to Claims (Compound Claims) [ECF No. 19714]; Debtors’ Two Hundred and Seventh Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests) [ECF No. 20012]; Three Hundred Thirteenth Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests) [ECF No. 28433]; Three Hundred Fourteenth Omnibus Objection to Claims (Late-Filed Claims) [ECF No. 28435]; Three Hundred Nineteenth Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests) [ECF No. 28777]; and Three Hundred Forty-Seventh Omnibus Objection to Claims (To Reclassify Proofs of Claim as Equity Interests) [ECF No. 30357].

² Certain of the RSU Claims have been disallowed pursuant to previous orders entered by Judge Peck in the above-referenced chapter 11 cases. Other RSU Claims have been settled by the parties. The remaining disputed RSU Claims (collectively, the “Remaining RSU Claims”) are set forth on Exhibit 1, attached hereto.

objections to proofs of claim [ECF No. 6664]; and due and proper notice of the Omnibus Objections having been provided; and opposition to the Omnibus Objections having been interposed from time to time (collectively, the “Responses”) by certain claimants listed on the Omnibus Objections; and an initial hearing having been held on December 21, 2011 (the “2011 Hearing”); and a three-day evidentiary hearing (the “Evidentiary Hearing”) regarding the Omnibus Objections having been held on April 1, 2, and 3, 2014; and upon the post-hearing submissions in connection with the Remaining RSU Claims;³ and the Court having reviewed the Omnibus Objections, the Responses thereto, the records of the 2011 Hearing and the Evidentiary Hearing, and the post-hearing submissions; and upon all of the proceedings had before the Court; and the Court having issued its memorandum decision, dated November 3, 2014 (ECF No. 46797) (the “Memorandum Decision”), sustaining the Objections; and for the reasons stated in the Memorandum Decision, it is hereby

ORDERED that the Responses are overruled in their entirety; and it is further

ORDERED that the Omnibus Objections are sustained as to the Remaining RSU Claims; and it is further

ORDERED that the Remaining RSU Claims listed on Exhibit 1 annexed hereto are hereby reclassified as Equity Interests (as such term is defined in the Modified Third Amended Joint Chapter 11 Plan of LBHI and its Affiliated Debtors [ECF No. 23023]) having the same priority as, and no greater priority than, common stock interests in LBHI; and it is further

³Those submissions include the Proposed Findings of Fact and Conclusions of Law Submitted by Compensation Claimants in Opposition to Debtors’ Fourteen Omnibus Objections Seeking to Reclassify Compensation Claims as Equity or Alternatively to Subordinate Claims Pursuant to Section 510(b) of the Bankruptcy Code dated June 6, 2014 [ECF No. 44951]; Neuberger Berman Claimants’ Proposed Findings of Fact and Conclusions of Law dated June 6, 2014 [ECF No. 44567]; Letter from Andrea T. Jao dated June 6, 2014 [ECF No. 44705]; Debtors’ Proposed Findings of Fact and Conclusions of Law [ECF No. 45026]; Debtors’ Reply to RSU Claimants’ Proposed Findings of Fact and Conclusions of Law [ECF No. 45025]; Letter from Eugene Neal Kaplan on behalf of Neuberger Berman Claimants dated July 9, 2014 [ECF No. 45093]; Letter from Richard J. Schager on behalf of Compensation Claimants dated July 22, 2014 [ECF No. 45333]

ORDERED that this Court shall retain jurisdiction to hear and determine all
matters arising from or related to this Order.

Dated: November 7, 2014
New York, New York

/S/ Shelley C. Chapman
HONORABLE SHELLEY C. CHAPMAN
UNITED STATES BANKRUPTCY JUDGE

Exhibit 1

EXHIBIT 1 - REMAINING RSU CLAIMS TO BE RECLASSIFIED

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE RECLASSIFIED	OMNIBUS OBJECTION(S)
1 ABRAMS, STANLEY	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	16132	\$493,339.74	\$412,235.98	207
2 ADLER, JENNIFER S.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	30611	Undetermined	Undetermined	130
3 ALI, ASGAR	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	27619	Undetermined	Undetermined	207
4 ALLARD, ANDREW	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/10/2009	11044	\$110,291.00	\$110,291.00	73
5 ANDERSON, IAN W.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/15/2009	12809	\$85,781.92	\$85,781.92	73
6 ANDRIOLA, ROCCO F.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/08/2009	10544	\$1,507,706.00	\$1,507,706.00	118
7 ANTONCIC, MADELYN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	16516	\$10,204,571.00	\$10,204,571.00	118
8 BANCHETTI, RICCARDO	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	20313	\$26,040,000.00	\$26,040,000.00	118
9 BANCHETTI, RICCARDO	08-13555 (SCC)	Lehman Brothers Holdings Inc.	04/13/2012	68071	\$26,040,000.00	\$26,040,000.00	314

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10 BARDEHLE, CHRISTIAN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/17/2009	14851	\$15,139.66	\$15,139.66	133
11 BAREGGI, MICHELE	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	32511	Undetermined	Undetermined	207
12 BAZYLEVSKY, BO	08-13555 (SCC)	Lehman Brothers Holdings Inc.	02/24/2010	66323	\$3,568,323.88	\$3,568,323.88	118
13 BECKER, JENNIFER	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/16/2009	13360	\$327,351.20	\$327,351.20	130
14 BENSON, CRAIG O.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	01/29/2009	2136	\$312,864.31	\$220,371.95	313
15 BIRASCHI, PAOLA	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/25/2009	34980	\$559,676.00	\$559,676.00	313
16 BIRD, RICHARD	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/19/2009	19506	\$61,000.00	\$61,000.00	207
17 BOGERT, LISA R	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	33233	\$53,148.26	\$53,148.26	131
18 BOWYER, MICHAEL L.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/17/2009	15087	\$2,526,640.00	\$2,526,640.00	118

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19 BRANCATO, JENNIFER	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	30417	Undetermined	Undetermined	207
20 BREWER, KAREN H	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/10/2009	11297	\$271,928.05	\$243,514.00	313
21 BROADBENT, WILLIAM S.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	11/09/2009	65126	\$1,870,078.90	\$736,228.63	313
22 BROADBENT, WILLIAM S.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	08/31/2009	9971	\$849,686.28	\$849,686.28	130
23 BROOKS, DAVID J	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/23/2009	34559	\$259,911.00	\$259,911.00	313
24 BURKE, TIMOTHY A.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	27925	Undetermined	Undetermined	207
25 BURKE, TIMOTHY A.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	04/19/2012	68086	\$10,011,786.00	\$10,011,786.00	314
26 BUTLER-MCLAUGHLI N, CECELIA E.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	21510	Undetermined	Undetermined	207
27 CALLIES,GUILLEMETT E	08-13555 (SCC)	Lehman Brothers Holdings Inc.	07/20/2009	5733	\$12,482.00	\$12,482.00	176

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28 CARANGO, ANTHONY T.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	25198	\$78,545.00	\$78,545.00	131
29 CASSANOVA, CATRINA	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	25137	Undetermined	Undetermined	207
30 CASUPLE, VIRGILIO	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	34326	\$6,184.00	\$6,184.00	207
31 CATALAO MAIA, ALEXANDRE	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	17760	\$2,868,427.08	\$2,868,427.08	118
32 CHAN, SHIRLEY	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	32595	Undetermined	Undetermined	207
33 CHEN, KENG-CHONG	08-13555 (SCC)	Lehman Brothers Holdings Inc.	08/24/2009	9025	Undetermined	Undetermined	207
34 CINTRON, JACQUELINE	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	25226	\$1,387.00	\$1,387.00	207
35 COHEN, DARIAN J.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	16153	\$437,550.00	\$388,377.68	176
36 COHEN, GUSTAVO SEBASTIAN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	25443	\$6,188.13	\$2,598.20	207

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37 COLLIER, MICHAEL	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/11/2009	11588	\$225,335.44	\$194,885.44	313
38 COLTON, DAVID	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	25073	\$19,956.00	\$19,956.00	207
39 CRASTON, EDMUND ANTHONY	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	17879	\$3,518,527.65	\$3,518,527.65	73
40 CREMIN, PATRICK G.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/02/2009	10101	\$175,394.00	\$175,394.00	131
41 D'AMADEO, JOSEPH G	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	19076	\$7,483,695.80	\$6,677,848.51	313
42 DAS, NACHIKETA	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	27231	\$588,932.07	\$588,932.07	130
43 DAY, GEMMA	08-13555 (SCC)	Lehman Brothers Holdings Inc.	07/23/2009	5952	\$1,961.00	\$1,961.00	135
44 DE JESUS, NESTOR E	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/23/2009	34492	\$750,555.00	\$750,555.00	313
45 DELLARUSSO,RICHAR D J.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	01/30/2009	4319	\$112,876.55	\$112,876.55	207

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46 DESFORGES, MATHIEU	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/16/2009	14260	\$124,524.17	\$124,524.17	131
47 DICCIANNI, CHARLES	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/14/2009	12314	\$96,068.00	\$96,068.00	73
48 DICENSO, JOSEPH	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	29210	\$10,950.00 *	\$10,950.00*	207
49 DMUCHOWSKI, JOHN J	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/23/2009	34401	\$5,069.83	\$5,069.83	313
50 DUFFY, KATHLEEN C.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	21911	\$38,604.00	\$38,604.00	73
51 DUFOURNIER, PHILIPPE	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/17/2009	15528	Undetermined	Undetermined	130
52 DUFOURNIER, PHILIPPE	08-13555 (SCC)	Lehman Brothers Holdings Inc.	04/17/2012	68073	\$14,604,161.52	\$14,604,161.52	314
53 DUNKIN, ROBEN L	08-13555 (SCC)	Lehman Brothers Holdings Inc.	07/20/2009	5729	Undetermined	Undetermined	207
54 DYER, ROBERT C.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	28012	\$262,069.00	\$262,069.00	73

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55 ENGEL, STEVEN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/17/2009	15255	\$284,161.36 *	\$149,870.00*	313
56 ERTMANN, JAMES	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	19193	\$133,246.59	\$126,886.19	207
57 ESCOBAR, CALIXTO M. JR.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	24678	\$7,537.76	\$7,537.76	207
58 FALLTRICK, BARRY J	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/15/2009	12733	\$14,504.05	\$14,504.05	133
59 FELDERHOF, STEPHEN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	25339	Undetermined	Undetermined	207
60 FINKEL, SETH J.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	18067	\$1,409,198.00	\$1,409,198.00	207
61 FLACKMAN, CYNTHIA	08-13555 (SCC)	Lehman Brothers Holdings Inc.	06/01/2009	4709	\$46,832.00	\$46,832.00	131
62 FLEISCHMAN RICHMAN, SANDY	08-13555 (SCC)	Lehman Brothers Holdings Inc.	08/17/2009	8530	\$3,903,072.10	\$3,903,072.10	118
63 FLYNN, PATRICK	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	24407	\$558,505.00 *	\$558,505.00*	207

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64 FRASER-JENKINS,INIG O L	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	25772	\$460,525.00	\$460,525.00	130
65 FRYER, DANIEL J.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	23028	Undetermined	Undetermined	207
66 GATTUSO, MARGARET E.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	27735	\$165,726.61	\$165,726.61	131
67 GLASEBROOK, RICHARD J., II	08-13555 (SCC)	Lehman Brothers Holdings Inc.	08/28/2009	9682	\$20,129,666.00	\$20,129,666.00	118
68 GOLDBERG, JASON	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	23894	\$3,297,005.00	\$3,297,005.00	118
69 GOLDBERG, LOUISE	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/19/2009	19518	\$15,565.00	\$12,520.00	313
70 GOLDMAN, SHERYL	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/01/2009	10029	\$13,511.00	\$13,511.00	73
71 GOYAL, ANSHUMAN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	01/30/2009	2292	\$4,176,740.27	Undetermined	347
72 GRAN, MICHAEL	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	23900	\$1,199,094.00	\$1,199,094.00	73

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73 GRAVES, ADRIAN T	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	24498	\$8,629.00	\$5,884.00	313
74 HAHN-COLBERT, SANDRA M	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/24/2009	34727	\$250,000.00	\$250,000.00	313
75 HAHN-COLBERT, SANDRA M	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/24/2009	34728	\$41,888.00	\$41,888.00	313
76 HALL, ROOPALI A.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	30355	\$22,222.00	\$22,222.00	207
77 HARGRAVE, BRIAN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	33155	Undetermined	Undetermined	207
78 HASHIDA, AKIKO	08-13555 (SCC)	Lehman Brothers Holdings Inc.	07/16/2009	5421	Undetermined	Undetermined	207
79 HAWES, GREGG	08-13555 (SCC)	Lehman Brothers Holdings Inc.	08/10/2009	7797	\$60,464.00	\$60,464.00	207
80 HOAR, NICK JOHN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	30475	\$389,793.12	\$389,793.12	130
81 HOM, MICHAEL C.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/15/2009	12940	\$189,892.00	\$189,892.00	207

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82 HOOPER, HELEN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	28365	Undetermined	Undetermined	207
83 HORNICK, PETER	08-13555 (SCC)	Lehman Brothers Holdings Inc.	07/28/2010	66971	Undetermined	Undetermined	313
84 HOWARD, NICHOLAS P.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	28279	\$3,192,226.03	\$1,827,077.51	313
85 HUTTON, RANDALL J.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/16/2009	14023	\$8,851,649.15	\$8,851,649.15	118
86 IRAGORRI, JULIAN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	11/06/2009	64991	\$2,841,142.00	\$2,836,082.29	319
87 IYER, SUBRAMANIAM	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	23575	\$3,196.64	\$3,196.64	207
88 JACOBSON, LARS P.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	24335	\$408,027.05	\$245,962.98	176
89 JAO, ANDREA T.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	07/15/2009	5340	\$107,253.00	\$107,253.00	73
90 JENCKES, GEORGE A., IV	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	20300	\$24,719.00	\$17,098.83	207

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91 JOHNSON, BRIAN A.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/17/2009	15102	\$225,000.00	\$225,000.00	207
92 JUDD, IAN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	07/27/2009	6286	\$25,870.00	\$25,870.00	133
93 KELLARD, CRAIG J	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/15/2009	12821	\$2,227.00	\$2,227.00	73
94 KENNEY, JUDITH A	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/16/2009	13929	\$790,327.00	\$790,327.00	73
95 KETTLER, R. KYLE	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/16/2009	13888	\$350,000.00	\$350,000.00	130
96 KILGALLON,JOHNINE	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	25172	\$450,000.00	\$450,000.00	207
97 KING, HARRIET CHAN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/23/2009	34546	\$6,081.00 *	\$6,081.00*	313
98 KOTIAN, SURAJ	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	23582	\$3,196.64	\$3,196.64	207
99 KRIEGER, KAREN M. SIMON	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	18087	\$225,396.55	\$164,319.52	185, 329

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100 LANGEVIN, MARY	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	24675	\$52,415.00	\$52,415.00	73
101 LANKEN, JONATHAN P	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	32239	Undetermined	Undetermined	207
102 LATIFA, DEBBARH	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	25874	\$38,115.00	\$38,115.00	133
103 LAWRENCE, HENRY MORGAN III	08-13555 (SCC)	Lehman Brothers Holdings Inc.	03/17/2009	3374	\$2,843,547.67	\$2,626,707.24	118
104 LAWRENCE, NICOLE S.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	03/17/2009	3373	\$354,008.53	\$331,620.57	130
105 LAWSKY, MICHAEL	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	24670	\$94,482.00	\$94,482.00	207
106 LEE, MAN YANG	08-13555 (SCC)	Lehman Brothers Holdings Inc.	07/13/2009	5262	Undetermined	Undetermined	207
107 LEGOTTE, LEONARD J.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	31267	\$75,454.89	\$75,454.89	207
108 LENG, MANHUA	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	27230	\$520,584.00	\$520,584.00	130

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109 LEUNG, PAMELA	08-13555 (SCC)	Lehman Brothers Holdings Inc.	07/30/2009	6741	\$10,000.00	\$10,000.00	207
110 LEV ARI, TAL	08-13555 (SCC)	Lehman Brothers Holdings Inc.	12/07/2009	65858	\$178,077.26	\$178,077.26	319
111 LEVILEV, YERUCHIM	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	33565	\$10,430.42	\$10,430.42	347
112 LEVINE, RICHARD S.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	31657	\$10,950.00 *	Undetermined*	185
113 LEVY, STEWART F.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/16/2009	14342	\$288,662.33	\$288,662.33	130
114 LEWIS, SARAH J	08-13555 (SCC)	Lehman Brothers Holdings Inc.	08/20/2009	8812	\$403,077.00	\$372,627.00	313
115 LIOTTI, FABIO	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/17/2009	15120	\$3,519,222.00	\$2,548,421.52	176
116 LISTER, JAMES G.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	17624	\$5,332,393.02	\$5,140,116.94	185
117 LOWE, TIA GELAINE	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	28287	\$39,182.00	\$39,182.00	207

EXHIBIT 1 - REMAINING RSU CLAIMS TO BE RECLASSIFIED

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE RECLASSIFIED	OMNIBUS OBJECTION(S)
118 LUKEN, PATRICIA M.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	22763	\$216,744.00	\$216,744.00	313
119 LYNCH, MARY A.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	24409	Undetermined	Undetermined	118
120 MALCOLM, ANDREW	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	30704	Undetermined	Undetermined	207
121 MARNEY, LESLEY N	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/10/2009	11107	\$21,315.00	\$21,315.00	207
122 MARSHALL, NIKKI A.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	23723	\$160,483.71	\$160,483.71	131
123 MCCARTHY, LAWRENCE E	08-13555 (SCC)	Lehman Brothers Holdings Inc.	03/15/2010	66392	\$4,500,083.35	\$4,500,083.35	319
124 MCCOOEY, KEVIN C.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/04/2009	10370	\$378,851.13	\$378,851.13	176
125 MCCULLY, MICHAEL K.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	12/15/2009	65949	\$837,036.00	\$837,036.00	313
126 MCGEE, HUGH	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	31081	Undetermined	Undetermined	313

EXHIBIT 1 - REMAINING RSU CLAIMS TO BE RECLASSIFIED

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE RECLASSIFIED	OMNIBUS OBJECTION(S)
127 MCLAUGHLIN, MARY D	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/11/2009	11434	\$24,696.00	\$24,696.00	207
128 MEARS, RUSSELL	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/10/2009	11108	\$5,993.13	\$5,993.13	134
129 MENZIES, FIONA M.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	08/19/2009	8707	\$62,904.00	\$62,904.00	131
130 MISCHLER, VINCENT	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	32557	\$704,789.18	\$704,789.18	130
131 MODASIA,DIPAK	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	24585	Undetermined	Undetermined	207
132 MONAHAN, BRIAN W.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	20775	\$1,653,621.00	\$1,653,621.00	118
133 MONTALVO, MIRIAM	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	30680	Undetermined	Undetermined	207
134 MUINOS, BARBARA	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/10/2009	11043	\$41,105.00	\$41,105.00	73
135 MURPHY, PATRICIA	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	17261	\$24,385.00	\$24,385.00	207

EXHIBIT 1 - REMAINING RSU CLAIMS TO BE RECLASSIFIED

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE RECLASSIFIED	OMNIBUS OBJECTION(S)
136 NACKENSON, RICHARD	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/16/2009	13968	\$6,473,275.00	\$6,473,275.00	118
137 NEDEV, BORIS	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	22803	\$194,817.00	\$194,817.00	131
138 NETZEL,GAVIN R	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/15/2009	12806	\$11,010.00	\$11,010.00	73
139 NEVILLE, IAN A	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	31678	\$6,423.89	\$3,378.89	313
140 NOBLE, RICHARD GRANT	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/16/2009	14197	\$1,559,900.00	\$1,559,900.00	73
141 NORTH-CLAUSS, BRYAN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	20305	\$104,495.42	\$104,495.42	207
142 O'MARA, MICHAEL J.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	17872	\$308,382.00	\$308,382.00	130
143 O'SULLIVAN, THOMAS J.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	27300	\$650,000.00 *	Undetermined*	185
144 OLIVIER, HELMUT	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/17/2009	14855	\$1,244,228.16	\$1,244,228.16	313

EXHIBIT 1 - REMAINING RSU CLAIMS TO BE RECLASSIFIED

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE RECLASSIFIED	OMNIBUS OBJECTION(S)
145 PARR, ANKE	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	16039	Undetermined	Undetermined	118
146 PARR, ANKE	08-13555 (SCC)	Lehman Brothers Holdings Inc.	04/17/2012	68074	\$8,403,856.00	\$8,403,856.00	314
147 PATANKAR, SAMEER	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	23578	\$3,196.64	\$3,196.64	207
148 PATTERSON, MARTIN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	16289	\$435,321.00	\$414,006.00	313
149 PENTON, ANDREA M	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/14/2009	12044	\$4,533.00	\$4,533.00	73
150 PETRUCELLI, MICHAEL J.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	07/20/2009	5770	\$2,452,214.56	\$2,323,193.05	313
151 POCHINAPEDDI, VENKATA	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	31219	\$35,527.00	\$35,527.00	207
152 POPE, SAMANTHA J.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	08/26/2009	9428	\$30,334.00	\$30,334.00	73
153 PORTER, BARRY	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/15/2009	12975	\$360,248.00	\$360,248.00	130

EXHIBIT 1 - REMAINING RSU CLAIMS TO BE RECLASSIFIED

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE RECLASSIFIED	OMNIBUS OBJECTION(S)
154 PRIMIANO, VINCENT A.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	31795	\$4,856,359.00 *	\$4,856,359.00*	118
155 PRIMIANO, VINCENT A.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	04/19/2012	68079	\$4,830,605.00	\$4,830,605.00	314
156 PULJIC, GORAN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	28316	\$3,800,778.00	\$3,800,778.00	207
157 RADICK, JONATHAN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/10/2009	11300	Undetermined	Undetermined	207
158 RAMALLO, HENRY	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	17607	\$8,654,989.94	\$8,654,989.94	118
159 REINER, BRETT S.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/19/2009	19584	\$1,918,035.00	\$1,918,035.00	118
160 REYCROFT, EMILY M.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	08/31/2009	10489	\$1,002.00 *	\$1,002.00*	207
161 REYNOLDS, CHRISTIAN F.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	28442	\$1,044,547.00	\$1,044,547.00	118
162 RIVKIN, JACK L.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	03/08/2010	66375	\$6,815,723.30	\$6,815,723.30	319

EXHIBIT 1 - REMAINING RSU CLAIMS TO BE RECLASSIFIED

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE RECLASSIFIED	OMNIBUS OBJECTION(S)
163 RONCAGLIOLO, JACQUELINE S.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	07/14/2009	5326	Undetermined	Undetermined	207
164 SAKS, ROGER	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	19077	\$3,736,268.27	\$3,409,091.54	176
165 SANTODOMINGO MARTELL, ALVARO	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	19947	\$178,031.00 *	\$156,716.00*	313
166 SARKAR, AMIT K	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/24/2009	34872	\$3,076,963.23	\$3,076,963.23	176
167 SARODE, SUNIL	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	23577	\$3,196.64	\$3,196.64	207
168 SARONNE, GIANCARLO	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/17/2009	15754	\$12,883,007.00	\$12,883,007.00	118
169 SARONNE, GIANCARLO	08-13555 (SCC)	Lehman Brothers Holdings Inc.	04/19/2012	68080	\$10,871,611.00	\$10,871,611.00	314
170 SAVILLO, JOSEPHINE M.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	08/21/2009	8951	Undetermined	Undetermined	207
171 SCHUSTER, CHRISTIANE	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/11/2009	11369	\$1,662,921.00	\$1,662,921.00	135

EXHIBIT 1 - REMAINING RSU CLAIMS TO BE RECLASSIFIED

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE RECLASSIFIED	OMNIBUS OBJECTION(S)
172 SCHWAB, STEVEN B	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/11/2009	11540	\$455,210.00	\$425,146.00	207
173 SCHWARTZ, MARVIN C	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	20244	\$10,950.00 *	\$10,950.00*	118
174 SEBIRI, JONATHAN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	04/18/2012	68077	\$4,890,579.00	\$4,890,579.00	314
175 SEWARD, BRIAN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/11/2009	11590	\$115,492.70	\$85,042.70	313
176 SHAH, HARSH	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/16/2009	14285	\$10,952,772.58	\$10,952,772.58	118
177 SHAH, HARSH	08-13555 (SCC)	Lehman Brothers Holdings Inc.	04/16/2012	68072	\$10,952,772.58	\$10,952,772.58	314
178 SHAPIRO, ROSS B.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	31374	\$85,752.31 *	Undetermined*	313
179 SHINDE, SUDARSHAN SUBHASH	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	23580	\$3,196.64	\$3,196.64	207
180 SHOTTON, PAUL NIGEL	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	21362	\$4,766,920.21	\$4,766,920.21	73

EXHIBIT 1 - REMAINING RSU CLAIMS TO BE RECLASSIFIED

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE RECLASSIFIED	OMNIBUS OBJECTION(S)
181 SHUM, DANIEL T.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/17/2009	14958	\$1,005,600.00	\$1,005,600.00	207
182 SIBIRSKI, LINDA J.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/16/2009	13458	Undetermined	Undetermined	207
183 SIEGEL, NORMAN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	11/09/2009	65222	\$960,419.78	\$877,495.30	207
184 SINGH, ANIL KUMAR	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	32064	\$60,000.00 *	\$60,000.00*	207
185 SMITH, MARGARET E	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/10/2009	11054	\$111,939.00	\$81,489.00	313
186 SNELLING, STEPHEN J	08-13555 (SCC)	Lehman Brothers Holdings Inc.	08/20/2009	8813	\$108,464.00	\$105,419.00	313
187 SOMMA, GREGG	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	24373	\$120,563.00	\$120,563.00	73
188 SPERO, CHARLES	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	23799	Undetermined	Undetermined	207
189 SPERO, CHARLES	08-13555 (SCC)	Lehman Brothers Holdings Inc.	04/18/2012	68075	\$11,637,879.00	\$11,637,879.00	314

EXHIBIT 1 - REMAINING RSU CLAIMS TO BE RECLASSIFIED

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE RECLASSIFIED	OMNIBUS OBJECTION(S)
190 STEFFENS, EDWARD M.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	31665	\$59,480.00	\$59,480.00	207
191 STEVENS, CHRISTIAN E.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	25431	\$132,944.81	\$132,944.81	207
192 STIEFEL, STEPHANIE J	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	21711	\$3,097,980.60	\$3,097,980.60	118
193 SULLIVAN, ANDREA	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/15/2009	13087	\$204,389.02	\$204,389.02	73
194 SURI, TARVINDER SINGH	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	23576	\$3,196.63	\$3,196.63	207
195 SWEELY, GORDON G.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	33156	Undetermined	Undetermined	207
196 SWEELY, GORDON G.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	04/18/2012	68078	\$6,583,261.74	\$6,583,261.74	314
197 TESCHNER, STEFAN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/17/2009	14852	\$171,684.72	\$171,684.72	131
198 TOAL, IAN G	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/15/2009	12811	\$7,117.00	\$7,117.00	73

EXHIBIT 1 - REMAINING RSU CLAIMS TO BE RECLASSIFIED

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE RECLASSIFIED	OMNIBUS OBJECTION(S)
199 TRAVERSA, ROBERT	08-13555 (SCC)	Lehman Brothers Holdings Inc.	08/31/2009	9879	\$51,559.00	\$51,559.00	207
200 TUIL, LAURENT	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	32257	\$87,402.35	\$48,644.19	176
201 TURNBULL, NIGINA	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	26063	Undetermined	Undetermined	207
202 VALECCE, JOHN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	31902	\$240,597.39	\$240,597.39	207
203 VELEBA, MILAN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	08/17/2009	8447	\$5,803.00	\$5,803.00	134
204 VOLINI, PIERLUIGI	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	25900	Undetermined	Undetermined	313
205 WAIRKAR, DINESH VISHWANATH	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	23579	\$3,196.64	\$3,196.64	207
206 WALKER, MATTHEW	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/14/2009	12296	\$453,002.00	\$453,002.00	130
207 WANG,YULAN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	25072	\$43,041.00	\$43,041.00	207

EXHIBIT 1 - REMAINING RSU CLAIMS TO BE RECLASSIFIED

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE RECLASSIFIED	OMNIBUS OBJECTION(S)
208 WARD, PETER	08-13555 (SCC)	Lehman Brothers Holdings Inc.	08/31/2009	9915	\$1,966,452.00	\$1,878,300.00	313
209 WATTEVILLE, JEAN DE	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	28313	\$311,342.27	\$311,342.27	130
210 WECKER, JEFFREY	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/22/2009	29718	Undetermined	Undetermined	313
211 WEINER, DAVID I.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	18314	\$15,684,379.91	\$15,684,379.91	118
212 WEINREICH, RUTH	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/16/2009	13327	Undetermined	Undetermined	207
213 WELCH, COLIN S. A.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/16/2009	13290	\$1,330,971.75	\$1,330,971.75	73
214 WHAMOND, CHRISTIAN	08-13555 (SCC)	Lehman Brothers Holdings Inc.	12/31/2009	66053	\$179,515.80	\$179,515.80	131
215 WIDEMAN, ANDREW	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	22286	\$151,021.61	\$151,021.61	207
216 WILKINSON, TIMOTHY B	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/24/2009	34829	\$1,543,425.91	\$1,543,425.91	313

EXHIBIT 1 - REMAINING RSU CLAIMS TO BE RECLASSIFIED

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	ASSERTED TOTAL CLAIM DOLLARS	AMOUNTS TO BE RECLASSIFIED	OMNIBUS OBJECTION(S)
217 WILSON, KELLY WEINBERGER	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/21/2009	25129	\$20,000.00	\$20,000.00	207
218 WILSON, ROBERT W.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	08/31/2009	9968	\$64,655.35	\$64,655.35	131
219 WINCHESTER, JUDITH A.	08-13555 (SCC)	Lehman Brothers Holdings Inc.	09/18/2009	19298	Undetermined	Undetermined	207
220 WREN, ALEX H	08-13555 (SCC)	Lehman Brothers Holdings Inc.	07/24/2009	6130	Undetermined	Undetermined	207